Corporate influence on EU-legislation

THE EXPERT GROUPS
‘Brain of The European Commission’

EC Research Staff

APPROX 3,500
IN-HOUSE RESEARCH STAFF

Working with these EC Services:
- Eurostat
- DG Research or RTD: Research Technology and Development (including FP7: Seventh Framework Programme)
- Joint Research Centre

*Note that these services have the status of Directorate Generals.

Expert Group Members

APPROX 30,000
EXPERT GROUP MEMBERS

Divided over
APPROX 1,000 EXPERT GROUPS
ADVISING
39 DIRECTORATE GENERALS

EC Mission
PROPOSING LEGISLATION FOR:

- 27 COUNTRIES
- 1/2 A BILLION EUROPEAN CITIZENS
- +50% OF LEGISLATION ADOPTED AT THE NATIONAL LEVEL ORIGINATES FROM THE EC
- +80% OF ECONOMIC LEGISLATION ADOPTED AT THE NATIONAL LEVEL ORIGINATES FROM THE EC
European Commission Expert Group Members

Approx 30,000 = 1,000
Expert Group Members co-drafting EU laws & policies

Who are they?

About 6,000 represent Corporate Interests

Less than 3,000 are from Universities, Unions & NGOs

And about 21,000 National Civil Servants

For every 2 EU Policy Makers there is 1 Corporate Advisor

There are about 6,000 Corporate Expert Group Members,
and there are exactly 12,648 EC officials involved in Policy Making.
There are 42 Expert Groups advising DG MARKT

DG MARKT
Its main role is to coordinate the Commission’s policy on the European Single Market and to seek the removal of unjustified obstacles to trade, in particular in the field of services and financial markets.

Directorate General MARKT has 8 departments:
- Directorate A: Resources and Communication
- Directorate B: Governance of the Single Market
- Directorate C: Public Procurement
- Directorate D: Intellectual Property
- Directorate E: Services
- Directorate F: Capital and Companies
- Directorate G: Financial Markets
- Directorate H: Financial Institutions

Of which: 20 Expert Groups advise just 3 Directorates

The Directorates concerning the financial market:
- Directorate F: Capital and Companies
- Directorate G: Financial Markets
- Directorate H: Financial Institutions

Of which: 11 Expert Groups involve non-governmental actors

Expert Group Members:
- Dominated by Big Business
- Big Business, Academics
- Consumers, Academics, SMEs
- Consumers
- Trade Unionists
Expert Group Members advising Directorates concerning The Financial Market

In numbers, Members from the 20 Expert Groups

- Small & Medium Enterprises: 4
- FROM Alternative Banks: 20
- Academics: 21
- FROM Unions: 22
- FROM Consumers (mainly NGO’s and other types of NGO’s): 202
- FROM Industry: 300
- FROM Governments

Diagram illustrating the number of members from different groups.
Panels of Supervising Authorities

European Banking Authority: Banking Stakeholder Group

According to a regulation adopted on 24 November 2010:

“The Banking Stakeholder Group shall be composed of 30 members, representing **in balanced proportions** credit and investment institutions operating in the Union, their employees’ representatives as well as consumers, users of banking services and representatives of SMEs.

At least five of its members shall be independent top-ranking academics. Ten of its members shall represent financial institutions, three of whom shall represent cooperative and savings banks.”

(essay 37)

Industry

<table>
<thead>
<tr>
<th>Member</th>
<th>Institution</th>
<th>Nationality</th>
<th>Selected to represent</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Andrew Thomas Procter</td>
<td>Deutsche Bank</td>
<td>Australian</td>
<td>Industry</td>
</tr>
<tr>
<td>2 Arnold Kuipers</td>
<td>Rabobank</td>
<td>Dutch</td>
<td>Industry</td>
</tr>
<tr>
<td>3 Birgit Roos</td>
<td>Savings Bank Düsseldorf</td>
<td>German</td>
<td>Industry</td>
</tr>
<tr>
<td>4 Christian Lajoie</td>
<td>BNP Paribas</td>
<td>French</td>
<td>Industry</td>
</tr>
<tr>
<td>5 Guglielmo Zadra</td>
<td>UniCredit SpA</td>
<td>Italian</td>
<td>Industry</td>
</tr>
<tr>
<td>6 Louise Lindgren</td>
<td>Nordea Bank AB</td>
<td>Swedish</td>
<td>Industry</td>
</tr>
<tr>
<td>7 Magdolna Stöke</td>
<td>Magyar Takarékszövetkezeti Bank Zrt.</td>
<td>Hungarian</td>
<td>Industry</td>
</tr>
<tr>
<td>8 Mónica Cueva Díaz</td>
<td>Santander</td>
<td>Spanish</td>
<td>Industry</td>
</tr>
<tr>
<td>9 Oliver Schenzt</td>
<td>Erste Group Bank AG</td>
<td>Austrian</td>
<td>Industry</td>
</tr>
<tr>
<td>10 Pamela Walkden</td>
<td>Standard Chartered Bank</td>
<td>British</td>
<td>Industry</td>
</tr>
</tbody>
</table>

Note: Is seven really a cooperative bank? And where is the third cooperative or savings bank?

Academics

<table>
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</tr>
</thead>
<tbody>
<tr>
<td>1 Andrea Cesare Resti</td>
<td>Bocconi University</td>
<td>Italian</td>
<td>Top-ranking academics</td>
</tr>
<tr>
<td>2 Daniel Gros</td>
<td>Centre for European Policy Studies (CEPS)</td>
<td>German</td>
<td>Top-ranking academics</td>
</tr>
<tr>
<td>3 David T Llewellyn</td>
<td>Loughborough University /</td>
<td>British</td>
<td>Top-ranking academics</td>
</tr>
<tr>
<td></td>
<td>Vienna University of Economics and Business</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Rudi Vander Vennet</td>
<td>Ghent University</td>
<td>Belgian</td>
<td>Top-ranking academics</td>
</tr>
<tr>
<td>5 Javier De Andrés</td>
<td>University of Oviedo</td>
<td>Spanish</td>
<td>Top-ranking academics</td>
</tr>
<tr>
<td>6 Giovanni Ferrl</td>
<td>University of Bari</td>
<td>Italian</td>
<td>Top-ranking academics</td>
</tr>
</tbody>
</table>

Consumers

<table>
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</thead>
<tbody>
<tr>
<td>1 Bostan Krisper</td>
<td>Slovene Consumers’ Association ZPS</td>
<td>Slovene</td>
<td>Consumers</td>
</tr>
<tr>
<td>2 Zita Čeponytė</td>
<td>Lithuanian Consumer Institute</td>
<td>Lithuanian</td>
<td>Consumers</td>
</tr>
<tr>
<td>3 Lubomír Chričtov</td>
<td>Bulgarian National Consumer Association</td>
<td>Bulgarian</td>
<td>Consumers</td>
</tr>
<tr>
<td>4 Marcin Kawitki</td>
<td>Financial Service User Group</td>
<td>Polish</td>
<td>Consumers</td>
</tr>
<tr>
<td></td>
<td>(Academic member of the consumers expert groups)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SMEs

<table>
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<th>Nationality</th>
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</tr>
</thead>
<tbody>
<tr>
<td>1 Robin Jarvis</td>
<td>European Craft and SME Association (UEAPME)</td>
<td>British</td>
<td>SMEs</td>
</tr>
<tr>
<td>2 Holger Schwannecke</td>
<td>Zentralverband des Deutschen Handwerks (ZDH)</td>
<td>German</td>
<td>SMEs</td>
</tr>
</tbody>
</table>
Industry has again the majority with 16 out of 30 members! 15 if we take out the Hungarian central bank of cooperatives.

Clearly in breach of the regulation!

Unions

<table>
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</tr>
</thead>
<tbody>
<tr>
<td>1 Ute Meyenberg</td>
<td>UNI Europa Finance / European Trade Union Confederation</td>
<td>French</td>
<td>Trade unions</td>
</tr>
</tbody>
</table>

The Scandal

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</tr>
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<tbody>
<tr>
<td>1 Erik Berggren</td>
<td>BUSINESSEUROPE</td>
<td>Dutch</td>
<td>SMEs</td>
</tr>
<tr>
<td>2 Sylvie Bourguignon</td>
<td>Deloitte</td>
<td>French</td>
<td>Users of banking services</td>
</tr>
<tr>
<td>3 Michel Barbet-Massin</td>
<td>Federation of European Accountants / Mazars</td>
<td>French</td>
<td>Users of banking services</td>
</tr>
<tr>
<td>4 Michelle Brennan</td>
<td>Standard &amp; Poor’s Ratings Services</td>
<td>Irish</td>
<td>Users of banking services</td>
</tr>
<tr>
<td>5 Hiltrud Thelen-Pischke</td>
<td>PricewaterhouseCoopers AG Wirtschaftsprüfungsgesellschaft</td>
<td>German</td>
<td>Users of banking services</td>
</tr>
<tr>
<td>6 Giles Williams</td>
<td>KPMG LLP</td>
<td>British</td>
<td>Users of banking services</td>
</tr>
<tr>
<td>7 Sony Kapoor</td>
<td>Re-define</td>
<td>British</td>
<td>Consumers</td>
</tr>
</tbody>
</table>
Panels of Supervising Authorities

European Insurance and Pension and Occupational Pensions Authority

According to a regulation adopted on 24 November 2010:

“\ The Occupational Pensions Stakeholder Group shall be composed of 30 members, representing in balanced proportions institutions for occupational retirement provision operating in the Union, representatives of employees, representatives of beneficiaries, representatives of SMEs and representatives of relevant professional associations.

At least five of its members shall be independent top-ranking academics. Ten of its members shall represent institutions for occupational retirement provision. ”

(Article 37)

Two Stakeholder Groups were formed. There is also a transparency problem since the names of the specific companies and lobby groups are not mentioned.

Representatives Insurance and Reinsurance Stakeholder Group

Industry representatives:
1. Mr. Oliver Bäte, Germany
2. Mr. Yanick Bonnet, France
3. Mr. Paul Carty, Ireland
4. Mr. Hugh Francis, United Kingdom
5. Ms. Pilar Gonzalez de Frutos, Spain
6. Mr. Asmo Olavi Kalpala, Finland
7. Ms. Michaela Koller, Germany
8. Mr. Jean Christophe Menioux, France
9. Mr. Gerard van Olphen, Netherlands
10. Mr. Joachim Wenning, Germany

Consumers:
1. Ms. Ann Kay Blair, United Kingdom
2. Mr. Mads Mølgaard Braüner, Denmark
3. Mr. Lars Gatschke, Germany
4. Mr. Marcin Kawiński, Poland
5. Ms. Baiba Miltovica, Latvia (an academic)

Users of insurance and reinsurance services:
1. Mr. Jérôme Lecoq, Belgium (unknown)
2. Mr. Robert Crawford Jones, United Kingdom (unknown)

Representatives of trade unions:
1. Mr. Raffaella Infelisi, Italy
2. Mr. Damien Lagaude, France
The scandal

1. Mr. Thomas Behar, France
   (CNP, large insurance company)
2. Mr. Seamus Creedon, Ireland
   (actuary working for the insurance and banking industry).
3. Mr. Guenter Droese, Germany
   (Head of Corporate insurance at Deutsche Bank)
4. Mr. Francis Frizon, France
   (employed and paid by the French Insurance Industry Federation)
5. Ms. Christianne Verhaegen, Belgium
   (General Secretary of the European Federation for Pension Provision.
   She is labelled as an industry representative in the other Group)
6. Ms. Daniela Weber-Rey, Germany
   (Clifford Chance)

Academics:

1. Ms. Rym Ayadi, Tunisia
2. Mr. Dario Focarelli, Italy
3. Mr. Helmut Johannes Gründl, Germany
4. Ms. Maria Heep-Altiner, Germany
5. Mr. Alexander N. Sadovski, Bulgaria

16 out of 30 members representing industry in breach of the regulation!

Just like the Occupational Pensions Stakeholder Group!